

Docket No. 270429US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Hansulrich REISACHER, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/12554

GAU:

FILED: Herewith

EXAMINER:

FOR: SOLID PIGMENT PREPARATIONS CONTAINING WATER-SOLUBLE ANIONIC SURFACE-ACTIVE  
ADDITIVES THAT COMPRISE CARBOXYLATE GROUPS**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 270429US0PCT		SERIAL NO. New U.S. PCT Application Based on PCT/EP03/12554	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hansulrich REISACHER, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3 947 287	03/30/76	BELDE, Horst et al.			
	AB	6 569 231	05/27/03	MATHIAS, Johann			
	AC	3 841 888	10/15/74	BELDE, Horst et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AN	199 05 269	08/10/00	DE(Equivalent of US 6,596,073)			NO
	AO	39 14 384	10/31/90	DE(Equivalent of US 5,324,354)			NO
	AP	0 256 427	02/24/88	EP(Equivalent of US 4,986,851)			NO
	AQ	1 103 173	05/30/01	EP(Equivalent of US 6,646,023)			NO
	AR	03/066743	08/14/03	WO(with English abstract)			NO
	AS	100 35 494	01/31/02	DE(Equivalent of US 2002/0111465)			NO
	AT	102 27 657	01/08/04	DE			NO
	AU	102 33 081	02/05/04	DE			NO
	AV	0 058 865	09/01/82	EP(with English abstract)			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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# STATEMENT OF RELEVANCY

- 1) References AA, AB & AN-AR have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AS-AV are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference AC is additional prior art known to Applicant. A copy of these references is being submitted herewith.